



2811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#17 / 11-10-03
IDS
RothmanApplicant(s): **Ogawa, Kazuo**Serial No.: **09/964,995**Filed: **09/27/2001**Title: **Semiconductor Device and
Manufacturing Method Therefor**Attorney Docket No.: **N29748500S**Group Art Unit: **2811**Examiner: **Tran, T.**Commissioner for Patents,
P.O. Box 1450,
Alexandria, VA 22313-1450RECEIVED
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TC 2800 MAIL ROOM**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

___ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

X under 37 CFR 1.97(c) together with either a:

X Certification under 37 CFR 1.97(e), or

___ a \$240.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

___ under 37 CFR 1.97(d) together with a:

___ Certification under 37 CFR 1.97(e), and

___ a petition under 37 CFR 1.97(d)(2)(ii), and

___ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

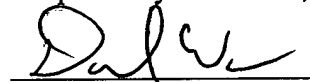
X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation, or equivalent, together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Darryl G. Walker
Attorney/Agent for Applicant(s)
Reg. No. 43,232

Date: August 26, 2003

Telephone No.: 1-408-289-5314

37 CFR §1.8

I hereby certify that this correspondence is being

☐ transmitted via facsimile to the United States Patent and Trademark Office to

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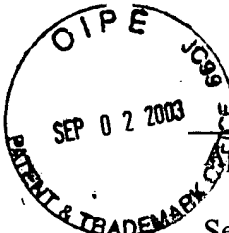
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Ogawa, Kazuo**

Serial No.: **09/964,995**

Group Art Unit: **2811**

Examiner: **Tran, T.**

Filed: **09/27/2001**

Title: **Semiconductor Device and
Manufacturing Method Therefor**

Attorney Docket No.: **N29748500S**

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

(X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

() No item of information contained in the Information Disclosure Statement

-- was cited in a communication from a foreign patent office in a counterpart foreign application, and

-- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

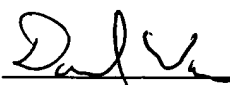
Respectfully Submitted,

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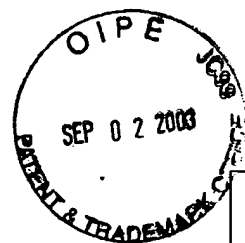
Typed/Printed Name: Darryl Walker

Signature: 

By 
Darryl Walker
Attorney/Agent for Applicant(s)
Reg. No.: 43,232

Date: August 26, 2003

Telephone No.: 1-408-289-5314



INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known			
		Application Number	09/964,995		
		Filing Date	September 27, 2001		
		First Named Inventor	Ogawa, Kazuo		
		Group Art Unit	2811		
		Examiner Name	Tran, T.		
Sheet	1	of	1	Attorney Docket Number	N29748500S

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS							
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		Office	Number	Kind Code ² (if known)			
		KR	1999-81134			11-15-1999	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Korean Office Action of 06/27/2003
		English Translation of the above-mentioned Korean Office action dated June 27, 2003

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.